

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Title:	Method and Device for
)	Performing Cooling-or
)	Cryo-Therapies for, e.g.,
John D. Dobak, III et al.)	Angioplasty with Reduced
)	Restenosis or Pulmonary
)	Vein Cell Necrosis to Inhibit Atrial Fibrillation
Serial No.: 10/608,978) Examiner:	Not Yet Known
)	
Filed: June 26, 2003) Group Art	3739
·) Unit:	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

The following items are being submitted for this Information Disclosure Statement:

Preliminary Statements 1. \mathbf{X}

X 2. **FORM PTO - 1449**

> I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

1. Preliminary Statements

Applicants cite herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. No fee is believed to be due in connection with this submission as this Information Disclosure Statement is being filed within three (3) months of the application filing date or before receipt of the First Office Action.

No fee is believed due for this submission, however, if any fees are required, authorization is hereby given to the Commissioner for Patents to charge the same to Deposit Account No. 50-0914.

Date: Oct. 25, 2004

Mark D. Wieczorek Attorney for Applicants Registration No. 37,966

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Approved for use through 10/31/99, OMB 0651-0031 Patent and Trademark Office: U.S./DEPARTMENT OF COMMERCE

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Cubatituta	for form 1449B/PT	A)O	1	Comple	ete if Known	
Substitute	101 10111 1449B/F 1399 H	NU	V 1 0 2004 6	Application Number	10/608,978	
INFO	ORMATION E	ISC	LOSURE /	Filing Date	June 26, 2003	
STA	TEMENT BY	ΔP	PLICANT	First Named Inventor	John D. Dobak, III	
017		· W	2.5 - AAA D	Group Art Unit	3739	
(us	se as many sheets	s as n	ecessary)	Examiner Name	Not Yet Known	
Sheet	1	of	2	Attorney Docket Number	103002	

			U.S. PATENT DOCUM	MENTS	
		U.S. Patent Document			
Examiner Initials'	Cite No.	Number Kind Cod (if known		Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	2,043,083	Wappler	06/03/36	
	AB	5,300,085	Yock	01/19/99	
	AC	5,501,681	Neuwirth et al.	03/26/96	
	AD	5,779,698	Clayman et al.	07/14/98	
	AE	6,602,276	Dobak III et al.	08/05/03	
	AF	20020169489	Dobak III et al.	11/14/02	
	AG	20030014095	Kramer et al.	01/16/03	
	AH	20030109912	Joye et al.	06/12/03	
	Al	20030125721	Yon et al.	07/03/03	
	AJ	20040087934	Dobak III et al.	05/06/04	

			FOREIGN PATENT DOCUM	MENTS		
		Foreign Patent Document				
Examiner Initials'	Cite No.1	Office ³ Number ⁴ Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited document MM-DD-YYYY	Pages, Column, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	AK	WO 02/069862	Innercool Therapies	09/12/02		
	AL	WO 02/083196	SciMed Life Systems	10/24/02		
	AM	WO 03/028524	Innercool Therapies	04/10/03		

		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials'	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AN	Hederer, G., et al.; "Animal Experiment Observations Regarding Cardiac Surgery under Intravascular Hypothermia"; Labgebbecjs Arch. U. Dtsch. A. Chir., Bd. 283, S. 601-625 (1957) (German article with English translation)	
	AO	Behmann, F.W; "Heat Generation Control during Artificial Hypothermia: II. Theoretical Examinations"; Pflügers Archiv, Bd. 266, S. 422-446 (1958) (German article with English translation)	
	AP	Behmann, F.W., et al.; « Heat Generation Control during Artificial Hypothermia: I: Experimental Examination of the Influence of Anesthetic Depth"; Pflügers Archiv, Bd. 266, S. 408-421 (1958) (German article with English translation)	
	AQ	Behmann, F.W., et al.; Intravascular Cooling, a Method to Achieve Controllable Hypothermia; Pflűgers Archive, Vol. 263, pages 145-165 (1956) (German article with English translation)	
	AR	Jackson, Donald, et al; "Hypothermia: IV. Study of Hypothermia Induction Time with Various Pharmacological Agents (24617)"; Proc Soc Exp Biol Med.; 100(2): 332-335 (February 1959)	

Examiner	Date	
CAUTITIO	l =	
Signature	Considered	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

12 Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/O8B (10-96)

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Substitute for form 1449B/PTO		Complete if Known			
		Application Number	10/608,978		
INFORMATION DISCLOSURE			OSURE	Filing Date	June 26, 2003
STATEMENT BY APPLICANT		First Named Inventor	John D. Dobak, III		
STATEMENT DI ALI EIGAN				Group Art Unit	3739
(use as many sheets as necessary)		Examiner Name	Not Yet Known		
Sheet 2 of 2		Attorney Docket Number	103002		

		OTHER PRIOR ART—NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials'	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	AS	Behmann, F.W.; "Heat Generation Control during Artificial Hypothermia, an article about the economic problem of trembling stages"; Pflügers Archive, Vol. 263, pages 166-187 (1956) (German article with English translation)	
	AT	Behmann, F.W.; "Regulation of heat production in experimental hypothermia of homothermal animals"; Naunyn Schmiedebergs Arch Exp Pathol Pharmakol; 228 (1-2): 126-128 (1956). (German article with English translation)	

Date Examiner Considered Signature

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